

Audit and Standards Committee

Monday, 11 March 2019

10.00 am

Oak Room, County Buildings, Stafford

John Tradewell
Director of Corporate Services
1 March 2019

A G E N D A

1. **Apologies**
2. **Declarations of Interest**
3. **Minutes of the Meeting held on 3 December 2018** (Pages 1 - 12)
4. **Review of the Effectiveness of the Audit & Standards Committee** (Pages 13 - 30)

Report of the Director of Corporate Services
5. **Annual Report on the Management of Complaints and Report of Committee for Standards in Public Life on their Review of Local Government Ethical Standards** (Pages 31 - 38)

Report of the Director of Corporate Services
6. **External Audit Plan 2018-19** (Pages 39 - 82)

Report of Ernst & Young
7. **Local Government Audit Committee Briefing** (Pages 83 - 96)

Report of Ernst & Young
8. **Staffordshire Pension Fund Audit Planning Report 2018-19** (Pages 97 - 132)

Report of Ernst & Young
9. **Forward Plan** (Pages 133 - 144)

10. **Exclusion of the Public**

The Chairman to move:-

“That the public be excluded from the meeting for the following items of business which involve the likely disclosure of exempt information as defined in the paragraphs of Part 1 of Schedule 12A (as amended) of the Local Government Act 1972 as indicated below”.

PART TWO

(reports in this section are exempt)

11. **Update on Cyber Security Essentials Audit** (Pages 145 - 150)

Exempt Presentation and Report of the Director of Corporate Services
(Exemption Paragraph 3)

12. **Use of Data, Analytics and the Development of Continuous Controls Monitoring** (Pages 151 - 166)

Exempt Presentation and Report of the Director of Corporate Services
(Exemption paragraph 3)

13. **Data Centre Environmental and Physical Security Controls - Final Audit Report** (Pages 167 - 198)

Exempt Report of the Director of Corporate Services
(Exemption paragraph 3)

14. **Approved Mental Health Professionals - Final Audit Report** (Pages 199 - 236)

Exempt Report of the Director of Corporate Services
(Exemption paragraph 3)

Membership

Derek Davis, OBE	Ross Ward
Mike Davies	Bernard Williams
Michael Greatorex	Victoria Wilson
Martyn Tittley (Chairman)	Jill Hood
David Brookes	Paul Northcott
Colin Greatorex	Susan Woodward
Ian Lawson	Alastair Little
Carolyn Trowbridge (Vice-Chairman)	

Note for Members of the Press and Public

Filming of Meetings

The Open (public) section of this meeting may be filmed for live or later broadcasting or other use, and, if you are at the meeting, you may be filmed, and are deemed to have agreed to being filmed and to the use of the recording for broadcast and/or other purposes.

Recording by Press and Public

Recording (including by the use of social media) by the Press and Public is permitted from the public seating area provided it does not, in the opinion of the chairman, disrupt the meeting.